

## Regulatory and Audit Committee 8 February 2017

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8 <b>FORWARD PLAN</b> Standing item to be presented by Ms Maggie Gibb, Chief Internal Auditor.	3 - 10



# Regulatory and Audit Committee

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**Title:** Forward Plan

**Date:** Wednesday 8<sup>th</sup> February 2017

**Author:** Maggie Gibb, Head of Business Assurance (& Chief Auditor)

**Contact officer:** Maggie Gibb, 01296 387327

**Local members affected:**

*For press enquiries concerning this report, please contact the media office on 01296 382444*

## Summary

See attached forward plan – appendix 1

## Recommendation

Members should **NOTE** the forward plan.

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## Background Papers

None

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## Regulatory & Audit Committee Forward Plan – Forward Plan

Date of meeting	Items ^ = to OCB
<p><b>26 April 2017</b></p> <p><b>PART 2 ITEMS</b></p>	<ul style="list-style-type: none"> <li>• Contract Standing Orders - Exemptions/Breaches ^</li> <li>• Business Continuity Management ^</li> <li>• Whistleblowing Policy - incidents and effectiveness ^</li> <li>• Outside Bodies Update ^</li> <li>• 17/18 Draft Business Assurance Strategy (incl. Internal Audit Plan) ^</li> <li>• Business Assurance Update and Audit Action Tracker</li> <li>• Inspection RIPA Covert Surveillance Inspection ^</li> <li>• Grant Thornton Audit Plan ^</li> <li>• Grant Thornton Pension Fund Audit Plan ^</li> <li>• Mandatory Training - Compliance Update ^</li> <li>• Assurance and Risk Strategy ^</li> <li>• Risk Management Group Update</li> <li>• Forward Plan</li> <li>• Limited Assurance Internal Audit Reports ^</li> <li>• Fraud Update (via Statutory Officers Group)</li> <li>• Buckinghamshire Care Update</li> </ul>
<p><b>24 May 2017</b></p> <p><b>PART 2 ITEMS</b></p>	<ul style="list-style-type: none"> <li>• Draft Statement of Accounts ^</li> <li>• Draft Annual Governance Statement ^</li> <li>• Annual Report of Chief Auditor ^</li> <li>• Y/E Treasury Management Update ^</li> <li>• Risk Management Group update</li> <li>• Forward Plan</li> <li>• Limited Assurance Internal Audit Reports ^</li> <li>• Fraud Update (via Statutory Officers Group)</li> </ul>

## Regulatory & Audit Committee Forward Plan – Forward Plan

26 July 2017

### PART 2 ITEMS

- Audit of Accounts ^
- Final Annual Governance Statement ^
- Business Assurance Update and Audit Action Tracker
- Effectiveness of Debt Management Strategy ^
- Business Continuity Management Update ^
- Risk Management Group update
- Forward Plan
- Limited Assurance Internal Audit Reports^
- Fraud Update (via Statutory Officers Group)
- Private session with Chief Auditor
- Private Session with Grant Thornton

## Regulatory & Audit Committee Forward Plan – Forward Plan

PAST MEETINGS	
<b>8 February 2017</b>	<ul style="list-style-type: none"> <li>• Business Assurance Update and Audit Action Tracker (to Audit Board)</li> <li>• Treasury Management Annual Strategy ^</li> <li>• Effectiveness of Debt Management Strategy ^</li> <li>• Business Continuity Management Update ^- APRIL MEETING</li> <li>• Contract Management Application Performance Report ^</li> <li>• Limited Assurance Internal Audit Reports ^ - NONE</li> <li>• Fraud Update (to Statutory Officers) – APRIL MEETING</li> <li>• Tfb ^</li> </ul>
<b>3 January 2017</b>	<ul style="list-style-type: none"> <li>• Business Assurance Update and Audit Action Tracker (to Audit Board)</li> <li>• Annual Report on Feedback and Complaints Procedure ^</li> <li>• Hearing the Customer's View – Annual Report Children and Young People's Social Care ^</li> <li>• Adult's Social Care Complaints Procedure, Making Experiences Count ^</li> <li>• Vexatious Complaints (TBC)</li> <li>• Business Assurance and Risk Strategy ^- APRIL MEETING</li> <li>• Risk Management Group Update</li> <li>• Forward Plan</li> <li>• Limited Assurance Internal Audit Reports ^</li> <li>• Fraud Update (to Statutory Officers)</li> <li>• Buckinghamshire Care ^</li> </ul>
<b>9 November 2016</b>	<ul style="list-style-type: none"> <li>• External Audit Appointment</li> <li>• Treasury Management Update</li> <li>• Contract Standing Orders – Breaches/Exemptions</li> <li>• Annual Enforcement C&amp;YP (Protection from Tobacco)</li> <li>• Report of Chief Surveillance Commissioner</li> <li>• Risk Management Group Update</li> </ul>

## Regulatory & Audit Committee Forward Plan – Forward Plan

	<ul style="list-style-type: none"><li>• Annual Governance Statement Action Plan</li><li>• Public Sector Internal Audit Standards</li><li>• Forward Plan</li></ul>
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## Regulatory & Audit Committee Forward Plan – Forward Plan

Date of meeting	Items
<b>21 September 2016</b>	<ul style="list-style-type: none"><li>• Future External Audit Arrangements</li><li>• Business Assurance Update and Audit Action Tracker</li><li>• Mandatory Training - Compliance Update</li><li>• Anti-Fraud &amp; Corruption Strategy and Money Laundering Policy</li><li>• Special Education Needs Update</li><li>• Bucks Learning Trust Update</li><li>• Transport for Bucks Update</li><li>• Forward Plan</li></ul>
<b>28 July 2016</b>	<ul style="list-style-type: none"><li>• Audit of Accounts by end of July</li><li>• Final Annual Governance Statement</li><li>• Business Assurance Update and Audit Action Tracker</li><li>• Effectiveness of Debt Management Strategy</li><li>• Business Continuity Management Update</li><li>• Risk Management Group update</li><li>• Forward Plan</li><li>• Private session with Chief Auditor</li><li>• Private Session with Grant Thornton</li></ul>

## Regulatory & Audit Committee Forward Plan – Forward Plan

Date of meeting	Items
25 May 2016	<ul style="list-style-type: none"> <li>• Statement of Accounts by end of May</li> <li>• Annual Governance Statement</li> <li>• Annual Report of Chief Auditor</li> <li>• Treasury Management Update</li> <li>• Risk Management Group update</li> <li>• Forward Plan</li> </ul>
27 April 2016	<ul style="list-style-type: none"> <li>• Contract Standing Orders - Exemptions/Breaches</li> <li>• Contract Management Application Update</li> <li>• Whistleblowing Policy - incidents and effectiveness</li> <li>• Outside Bodies Update</li> <li>• 16/17 Draft Business Assurance Strategy (incl. Internal Audit Plan)</li> <li>• Business Assurance Update and Audit Action Tracker</li> <li>• Inspection RIPA Covert Surveillance Inspection</li> <li>• Pension Fund Audit Plan</li> <li>• Bucks Learning Trust Update</li> <li>• Future External Audit Arrangements</li> <li>• Risk Management Group Update</li> <li>• Forward Plan</li> </ul>